

Notice of meeting of

Audit & Governance Committee

To: Councillors Jeffries (Chair), Barnes, Brooks (Vice-Chair), Burton, Cuthbertson, Watson and Steward

Date: Monday, 13 February 2012

Time: 5.30 pm

Venue: The Guildhall, York

AGENDA

Note:

As agreed at previous meetings, the Chief Internal Auditor and District Auditor (Audit Commission) will be present in the meeting room from 5:00 pm to provide a private briefing for Members, if required. Please note there will also be a training session for Audit & Governance Committee members from 4pm prior to this meeting.

1. Declarations of Interest

At this point Members are asked to declare any personal or prejudicial interests they may have in the business on this agenda.

2. Exclusion of Press and Public

To consider the exclusion of the press and public from the meeting during consideration of Annex 1 to Agenda Item 10 on the grounds that it contains information relating to prevention, prosecution or investigation of crime. This information is classed as exempt under

paragraph 7 of Schedule 12A to Section 100A of the Local Government Act 1972 (as revised by The Local Government (Access to Information) (Variation) Order 2006).

3. Minutes (Pages 3 - 10)

To approve and sign the minutes of the meeting of the Audit & Governance Committee held on **5 December 2011**.

4. Public Participation

At this point in the meeting members of the public who have registered their wish to speak regarding an item on the agenda or an issue within the Committee's remit can do so. The deadline for registering is **5:00 pm on Friday 10th February 2012**.

5. Forward Plan (Pages 11 - 18)

This paper presents the future plan of reports expected to be presented to the Committee during the forthcoming year to December 2012.

6. Audit Commission 2010/11 Grant Claim Certification Work. (Pages 19 - 34)

The purpose of this report is to allow consideration of the Certificate of Claims and Returns Report 2010/11 produced by the Audit Commission (Annex A). The report reviews the Council's arrangements for the preparation and administration of grant claims within the Council, which has improved over the last few years.

7. Scrutiny of the Treasury Management Monitor 3 Report 2011/12 and Review of Prudential Indicators. (Pages 35 - 60)

This report and annexes provide Members with an update of treasury management activity for the first nine months of 2011/12.

8. Scrutiny of the Treasury Management Strategy Statement and Prudential Indicators for 2012/13 to 2016/17. (Pages 61 - 102)

This report aims to assist Audit & Governance members in the scrutiny of the Treasury Management Strategy Statement and Prudential Indicators for 2012/13 to 2016/17 by providing keys areas and points to note.

9. Counter Fraud: Risk Assessment and Review of Policies. (Pages 103 - 142)

The purpose of this report is to inform Members about potential fraud risks that the council is exposed to, and proposed counter fraud activity to address those risks. The report also details the outcome of a review of the council's counter fraud policies.

10. Internal Audit Plan Consultation. (Pages 143 - 150)

The purpose of the report is to seek members' views on the priorities for internal audit for 2012/13, to inform the preparation of the annual audit plan.

11. Information Governance Strategy. (Pages 151 - 160)

The purpose of the report is to inform Members about the Information Governance Strategy developed by the council's Corporate Information Governance Group (CIGG) and proposed action to strengthen information governance arrangements.

12. Whistleblowing Policy Update. (Pages 161 - 172)

This report shares with Members the latest draft of a revised whistleblowing policy and procedures for the Council.

13. Changes to the Constitution. (Pages 173 - 180)

This report seeks Members' support to a number of changes to the Constitution.

14. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

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For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.